

Concorde Investments Ireland Limited MiFID II RTS 28 Disclosure

Best Execution Review for the period January 1, 2021, to December 31, 2021.

Concorde Investments Ireland Ltd (“CII”) is authorised and regulated by the Central Bank of Ireland as an Investment Firm under the Markets in Financial Instruments Directive (MiFID).

As a MiFID authorised Investment Firm, CII is required to meet the regulatory requirements under MiFID 2. One of the aims of MiFID II is to provide greater protection and transparency for investors in relation to how investment firms execute transactions on their behalf. This document (the “RTS 28 Report”) is required under one of the MiFID II requirements known as ‘Regulatory Technical Standards 28’ (or “RTS 28”). The purpose of this RTS 28 Report is to provide information in relation to the top five execution venues CII used to execute client trades in the preceding year (in this case, 2021). This Report provides data in respect of several different types of ‘Financial Instruments’ (as defined by MiFID II). Each Instrument type has a separate table below.

For receipt and transmission, execution service venues shall be understood to be executing partners. CII does not execute orders itself but transmits orders to appointed third parties for execution. CII takes appropriate steps to ensure that any third party also has an appropriate Best Execution Policy in place. A summary of our Best Execution Policy is available in the Firm’s Terms of Business and a full copy of is available on our website, www.ciireland.com.

For the above referenced period, CII had a single execution partner namely, Concorde Securities Limited, 1123, Alkotás u. 55-61, 7th Floor, Budapest, Hungary.

The execution partner is a significant shareholder in CII. There were no changes to the execution partner during the period under review, 2021.

Asset Class: Equities					
Notification if < 1 average trade per business day			Yes		
Top five Execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of the total in this class	Proportion of orders executed as a % of total in this class	Percentage of Passive Orders	Percentage of aggressive orders	Percentage of directed orders
Concorde Securities Ltd.: 52990074UR5JKV FY4V57	100%	100%	N/A	N/A	N/A
Asset Class: Debt Instruments					
Notification if < 1 average trade per business day			Yes		
Top five Execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of the total in this class	Proportion of orders executed as a % of total in this class	Percentage of Passive Orders	Percentage of aggressive orders	Percentage of directed orders
Concorde Securities Ltd.: 52990074UR5JKV FY4V57	100%	100%	N/A	N/A	N/A
Asset Class: ETFs					
Notification if < 1 average trade per business day			Yes		
Top five Execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as % of the total in this class	Proportion of orders executed as a % of total in this class	Percentage of Passive Orders	Percentage of aggressive orders	Percentage of directed orders
Concorde Securities Ltd.: 52990074UR5JKV FY4V57	100%	100%	N/A	N/A	N/A
Asset Class: Other					
Notification if less than 1 average trade per business day			Yes		
Top five Execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of the total in this class	Proportion of orders executed as a % of total in this class	Percentage of Passive Orders	Percentage of aggressive orders	Percentage of directed orders
Concorde Securities Ltd.: 52990074UR5JKV FY4V57	100%	100%	N/A	N/A	N/A